



520.35237VX3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: T. KAJI, et al.
Application No.: 10/052,538
Filed: January 23, 2002
For: PLASMA PROCESSING APPARATUS PROCESSING METHOD
Art Unit: 1763
Examiner: A. M. Crowell

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97 AND 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

August 23, 2004

Sir:

In the matter of the above-identified application, Applicants are submitting herewith copies of U.S. Patent 5,607,542 and copies of JP H06-151367, as well as an English language abstract thereof. Regarding this, it is noted that JP H06-151367 corresponds to U.S. Patent 5,300,460, which has already previously been filed in the present application for consideration by the Examiner. Accordingly, the copy of JP H06-1511367 is being filed, together with an English language abstract, solely for purposes of completeness (noting that the U.S. Patent 5,300,460 is the priority document for this Japanese document).

This Information Disclosure Statement is being submitted within three months of the filing date of the Request for Continued Examination (RCE) filed July 6, 2004.

Application No.: 10/052,538

Docket No.: 520.35237VX3

It is also noted that, in the case of newly submitted U.S. Patent 5,607,542, the following certification specified in 37 CFR § 1.97(e) is provided:

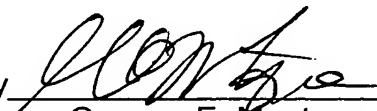
On information and belief, I hereby certify that U.S. Patent 5,607,542 filed herewith was not known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Although the document JP H06-151367 is not in the English language, the requirement of 37 CFR § 1.98(a)(3) for a concise explanation of relevance is satisfied by the English language abstract provided herewith, together with the fact that this document corresponds to U.S. Patent 5,300,460 previously filed in this application.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

To the extent necessary, Applicants petition for an extension of time under 37 CFR 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit Account No. 01-2135 (Docket No. 520.35237VX3), and please credit any excess fees to such Deposit Account.

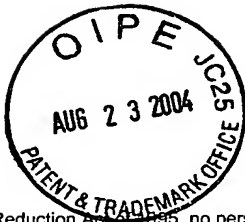
Respectfully submitted,
ANTONELLI, TERRY, STOUT & KRAUS, LLP

By 

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO		Complete if Known	
		Application Number	10/052,538
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	January 23, 2003
		First Named Inventor	T. KAJI, et al.
(use as many sheets as necessary)		Art Unit	1763
		Examiner Name	A. M. Crowell
Sheet	1	of	1
		Attorney Docket Number	520.35237VX3

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		5.607.542		03-04-1997	Wu et al	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)					
		JP H06-151367		05-31-1994	Applied Materials Inc.		Abst

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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